

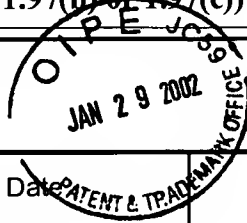
# 4  
Gp/2185

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 053560-0006	
In Re Application Of: B.N. Kausik et al.				
Serial No. 09/998,173	Filing Date 12/03/01	Examiner Unassigned	Group Art Unit 2185	
Title: Method and System for Efficient and Automated Version Management of Embedded Objects in Web Documents				
<div style="text-align: center;">Address to: Assistant Commissioner for Patents Washington, D.C. 20231</div> <div style="text-align: right;"><b>RECEIVED</b> FEB 01 2002 Technology Center 2100</div>				
<p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(h) or 1.97(c))

Docket No.  
053560-0006

In Re Application: B.N. Kausik et al.



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09/998,173

Filing Date

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Examiner

Unassigned

Group Art Unit

2185

Method and System for Efficient and Automated Version Management of Embedded Objects in Web Documents

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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-2385 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: January 16, 2002

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional)		Application Number		
				053560-006		09/998,173		
Applicant(s) B. N. Kausik et al.								
Filing Date				Group Art Unit				
12/03/01				2185				
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,754,766	05/19/98	Shaw et al.	395	200.3	08/14/95	
		5,862,220	01/19/99	Perlman	380	21	06/03/96	
		6,006,227	12/21/99	Freeman et al.	707	7	06/28/96	
		6,011,905	01/04/00	Huttenlocher et al.	395	102	11/08/96	
		6,018,738	01/25/00	Breese et al.	707	100	01/22/98	
		6,038,573	03/14/00	Parks	707	513	04/04/97	
		6,078,917	06/20/00	Paulsen, Jr. et al.	707	6	12/18/97	
		6,092,090	07/18/00	Payne, et al.	707	530	01/11/96	
		6,105,042	08/15/00	Aganovic et al.	707	500	02/13/98	
		6,230,171 B1	05/08/01	Pacifici et al.	707	512	08/29/98	
		6,247,050 B1	06/12/01	Tso et al	709	224	09/12/97	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A, P	JP02001034526A	02/09/01	Japan				
	A1	WO 00/42519	07/20/00	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		Huican ZHU and Tao YANG, "Class-Based Cache Management for Dynamic Web Content," Technical Report #TRCS00-13, Dept. of Computer Science, UCSB, published June 2000; presented at IEEE InfoCOM Conference April 2001; pp. 1-10.						
		"Whitepapers," FineGround Networks, Inc. < <a href="http://www.fineground.com/prod/whitepaper.html">http://www.fineground.com/prod/whitepaper.html</a> >, 2001, p. 1.						
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

